#### PE BIOSYSTEMS

A PE Corporation Business 850 Lincoln Centre Drive Foster City, CA 94404-1128

# **FAX TRANSMITTAL**

Date: August 16, 2001

To: Firmin Backer

Examiner

Group Art Unit 2155

Company: Patent and Trademark Office

Fax No.: 703-305-3718

Telephone No.: 703-308-0624

From: Cheri Gomez for Harry G. Thibault

Legal Department Fax No.: 650-638-6677 Telephone No.: 650-638-5039

Subject: U.S. Patent Application No.: 09/075,392

Filing Date: May 8, 1998

Title: Improvements in Thermal Cycler for PCR

Case No.: BT-4522/715-009180/4480C1

No. of pages (including cover sheet): 29

Message:

Attached please find our Request to Rescind Holding of Abandonment for Failure to Receive Office Action along with Exhibits 1 - 3.

Note: The information contained in this facsimile message may be attorney-client privileged and may contain confidential information intended only for the use of the individual named above, and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify me immediately by telephone (650) 638-6179 and return the original transmission to me by mail without making a copy. Thank you.

# Case No. BT-4522/715-009180/4480C1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of	) Group Art Unit: 2155
Atwood et al.	) Examiner: Backer, F.
Serial No.: 09/075,392	CERTIFICATE OF FACSIMILE TRANSMISSION
Filed: May 8, 1998	I hereby certify that this correspondence is being filed with the United States Patent and Trademark Office by facsimile transmission to facsimile telephone
For: Improvements In Thermal Cycler for PCR	number 703-305-3718, Attn: Examiner Firmin Backer, on August 8, 2001.  Signature:
Official DECENTED 2/5	) Patti D. Selan PATTI D. SELAN

for Failure to Receive Office Action

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

8/16/07

1. With reference to the Office Action allegedly mailed by the Patent Office on December 4, 2000, and the Notice of Abandonment mailed July 2, 2001, applicant respectfully requests that the abandonment be rescinded pursuant to the Notice entitled "Withdrawing the Holding of Abandonment When Office Actions Are Not Received" published at 1156 Official Gazette 53 (Nov. 16, 1993) as discussed in MPEP 711.03(c) (Rev. 1, Feb. 2000).

Request to Rescind Holding of Abandonment

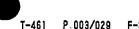
- 2. Applicant submits that this request is appropriate in light of the following:
- (a) On September 27, 1999, applicant notified the Patent Office of a change of correspondence address to

Harry G. Thibault
PE APPLIED BIOSYSTEMS DIVISION
The Perkin-Elmer Corporation
850 Lincoln Centre Drive

Foster City, California 94404 Telephone: 650.638.6014 Facsimile: 650.638.6071

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Copies of the address change and return receipt postcard date stamped 9/30/99 by the Patent Office are attached as Exhibit 1.

- The Office action was not received by Applicant's attorneys. A search of the file (b) jacket and docket records indicates that the action was never received.
- (c) Also enclosed as Exhibit 2 is a printout of a docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action. As can be seen, there is no entry for the nonreceived Office action.
- 3. Applicant respectfully requests that the Office action mailed December 4, 2000, be remailed with a new response date.
- 4. No fee is believed to be due for processing this Request. If otherwise, the Commissioner is hereby authorized to take such fee from Applied Biosystems Deposit Account No. 01-2213 (Order No. 4480C1).
- 5. As noted in the Request To Change Correspondence Address mailed June 19, 2001, a copy of which is attached as Exhibit 3, please send all future correspondence for this matter to:

David Aker Perman & Green, LLP 425 Post Road Fairfield, CT 06430

Respectfully submitted,

#### CORRESPONDENCE ADDRESS

David Aker Perman & Green, LLP 425 Post Road Fairfield, CT 06430

Telephone: 203-259-1800 Facsimile: 203-255-5170 Customer No.: 2512

27728 1

# Exhibit 1

Date Mailed: September 27, 1999

Assistant Commissioner for Patents Washington, D.C. 2023 I

Applicants:

Atwood et al.

Serial No.:

09/075,392

May 8, 1998 Filing Date:

IMPROVEMENTS IN THERMAL CYCLER FOR PCR For:

Enclosed are the following:

1. Certificate Under 37 CFR 3.73(b) and Associate Power of Attorney (2 pages);

2. Copy of Recordation of Assignment Document (10 pages);

3. Change of Correspondence Address of Application (1 page);

4. Request to Change Attorney Docket Number (1 page);

5. Certificate of Mailing; and

6. Return Postcard.



Case No.: 4480C1



Case No. BT-4522

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Art Unit: 2764 Atwood et al. Examiner: To Be Assigned Serial No. 09/075,392 CERTIFICATE OF MAILING I nereay cartify that this correspondence is being deposited with Filed: May 8, 1998 the United States Postal Service as first class mail in an enve addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on IMPROVEMENTS IN THERMAL ) CYCLER FOR PCR ) )

Aug-16-2001



## CERTIFICATE UNDER 37 CFR 3.73(b) and ASSOCIATE POWER OF ATTORNEY

)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned has reviewed all the documents in the chain of title of the aboveidentified patent application and, to the best of undersigned's knowledge and belief, title is in the assignee identified below. Attached hereto is a copy of the Notice of Recordation of Assignment Document and Assignment Document for this application.

The Perkin-Elmer Corporation, a New York Corporation, having its principal place of business at 761 Main Avenue, Norwalk, Connecticut, 06859, certifies that it is the assignee and owner of the entire right, title and interest in, to and under the invention described and claimed in the above-identified application, and hereby requests the Commissioner to recognize as Associate Attorney in this case:

Harry G. Thibault, Reg. No. 26,347

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please direct all communications relative to said pending patent application to:

Harry G. Thibault PE APPLIED BIOSYSTEMS DIVISION The Perkin-Elmer Corporation

850 Lincoln Centre Drive Foster City, California 94404 Telephone: 650.638.6014

By:

Facsimile: 650.638.6071

The Perkin-Elmer Corporation

Joseph H. Smith Vice President

Date: September 23, 1999

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

OCTOBER 16, 1998

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THE PERKIN-ELMER CORP. DAVID AKER 761 MAIN AVENUE M/S 199 NORWALK, CT 06859

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/27/1998

REEL/FRAME: 9345/0899

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ATWOOD, JOHN G.

DOC DATE: 07/14/1998

ASSIGNOR:

FAWCETT, ADRIAN

DOC DATE: 07/16/1998

ASSIGNOR:

FERRARA, KEITH S.

DOC DATE: 07/09/1998

ASSIGNOR:

HETHERINGTON, PAUL M.

DOC DATE: 07/10/1998

ASSIGNOR:

NOREIKS, RICHARD W.

DOC DATE: 07/09/1998

ASSIGNOR:

OLSEN, DOUGLAS E.

DOC DATE: 07/09/1998

ASSIGNOR:

WIDOMSKI, JOHN R.

DOC DATE: 07/17/1998

9345/0899 PAGE 2

ASSIGNOR:

WITTMER, CHARLES M.

DOC DATE: 07/09/1998

ASSIGNEE:

PERKIN-ELMER CORPORATION, THE 761 MAIN AVENUE M/S 199 NORWALK, CONNECTICUT 06859-0199

SERIAL NUMBER: 09075392

PATENT NUMBER:

FILING DATE: 05/08/1998

ISSUE DATE:

LAWAN FLETCHER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS M20 7-2798

07-31-1998 U.S. DEPARTMENT OF COMMERCE ET Parent and Tracement Office Tab settings a a a ∀ To the Honorapie Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(les): John G. Atwood, Adrian Fawcett, Keith S Name: The Perfett Himes Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Internal Address: Widomski and Charles M. Wittmer Additional name(s) of conveying party(ies) attached? 

Yes No 3. Nature of conveyance: Street Address: 761 Main Avenue □ Marger **EX** Assignment ☐ Change of Name □ Security Agreement Cay: Norwalk State: CT ZIP:06859-Other \_ Execution Date: 7/14,7/16,7/9,7/10,7/9,7/9, Additional name(s) & address(es) attached? 🔘 Yes ONECK Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 09/075,392 Additional numbers attached? 🖸 Yes 🕬 No 6. Total number of applications and patents involved: Name: . Internal Address: M/S 199 ☼ Enclosed Authorized to be charged to deposit account The Perkin-Elmer Corp. Street Address: 8. Deposit account number: 761 Main Avenue 16-1335 (Attach duplicate copy of this page if paying by deposit account) ZIP: 06859 State: CT Norwalk DO NOT USE THE SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 1998 22 David Aker Name of Person Signing Attorney for the Applicant Reg. No. 29,277 Total number of pages comprising cover sheet.

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

07/29/1998 DNGUYEN 00000150 161335 09075392 40.00 CH

Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231

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BT-4522

### **ASSIGNMENT**

WHEREAS, We, John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer, residing respectively at 149 Limekiln Rd., West Redding, CT 06896; 3606 W. Las Positas Boulevard, Pleasanton, CA 94588; 383 Woodstock Ave., Stratford, CT 06497; #103 - 10 Wild Oaks Rd., Goldens Bridge, NY 10526; 5300 Main Street, Stratford, CT 06614; 30 Lake Drive South RR3; New Fairfield, CT 06812; 10 Serene Drive, Shelton, CT 06484 and 15 Clarion Place, Trumbull, CT 06611 have invented certain new and useful improvements in IMPROVEMENTS IN THERMAL CYCLER FOR PCR for which We have executed an application for Letters Patent of the United States, concurrently herewith:

AND WHEREAS The Perkin-Elmer Corporation, a corporation of the State of New York, having a principal place of business at 761 Main Avenue, Norwalk, Connecticut 06859-0199, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledge, We, the said John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer: have, sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to

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BT-4522

the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, any facts known to us respecting said improvement, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said Corporation, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seal on the date indicated below.

July 14 1998

July 14 1998

Witness

BT-4522

Adrian Fawcett	Date
·	
Witness	
1/1	7-9-9-8
MI	7-9-98
Keith S. Ferrara	Date ·
Witness	
Witness	
Kachta	7/10/98
Paul M. Hethérington	Date
Witness	
Richard W Noreiba	7/9/98
Richard W. Noreiks	Date
Witness	
O(CO)	/ /-
Douglas E. Olsen	7/9/98 Date
Douglas E. Olsen	Date
Witness	
	<b>a</b> 00
XX Widouli	0717-98
John R. Widomski	Date
Witness	

BT-4522

Charles M. Wittmer

Date

July 9,1998

Witness

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T-461 P.015/029 F-86

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BT-4522

## **ASSIGNMENT**

WHEREAS, We, John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer, residing respectively at 149 Limekiln Rd., West Redding, CT 06896; 3606 W. Las Positas Boulevard, Pleasanton, CA 94588; 383 Woodstock Ave., Stratford, CT 06497; #103 - 10 Wild Oaks Rd., Goldens Bridge, NY 10526; 5300 Main Street, Stratford, CT 06614; 30 Lake Drive South RR3, New Fairfield, CT 06812; 10 Serene Drive, Shelton, CT 06484 and 15 Clarion Place, Trumbull, CT 06611 have invented certain new and useful improvements in IMPROVEMENTS IN THERMAL CYCLER FOR PCR for which We have executed an application for Letters Patent of the United States, concurrently herewith:

AND WHEREAS The Perkin-Elmer Corporation, a corporation of the State of New York, having a principal place of business at 761 Main Avenue, Norwalk, Connecticut 06859-0199, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledge, We, the said John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer: have, sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to

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BT-4522

the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, any facts known to us respecting said improvement, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said Corporation, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seal on the date indicated below.

John G. Atwood

Date

Witness

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BT-4522

IN ELMER

Witness

Date

Witness

Paul M. Hetherington

Witness

Richard W. Noreiks

Date

Witness

John R. Widomski

Date

Witness

JUL 28 '98 12:13

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PAGE. 39

PTO/SB/122 (11-96)
Approved for use through 6/30/91999. OMB 0631-0035
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### Complete if Known CHANGE OF Application Number 09/075,392 **CORRESPONDENCE ADDRESS** Filing Date May 8, 1998 Application First Named Inventor John G. Atwood Examiner Name To Be Assigned Address to: Group / Art Unit 2764 Assistant Commissioner for Patents Washington, D.C. 20231 Attorney Docket Number BT-4522

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OR							
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	850 Lincoln Centre Drive						
Address		V 1100000					
City	Foster City						
Country	USA	Ştate	CA			Z <b>i</b> p	94404
Telephone	650-638-6014			Fax	650-638-607	7	
associated with an o	used to change the data assoc existing Customer Number use "	iated w Reque	vith a Cus est for Cus	tomer stomer	Number. To c Number Data	hange th Change	ie data * (PTO/SB/124).
	Applicant.						
	Assignee of record of the entire Certificate under 37 CFR 3.73(b						
	Attorney or agent of record						
Typed or Printed Name Jose	plantyl. Smith	2					
Signature	And Sus					<u></u> .	
Date Sep	tember 23, 1999						

Burden Hour Statement: This form is estimated to rake 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Case No. 4480C1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atwood et al.

Serial No. 09/075,392

Filed: May 8, 1998

For: **IMPROVEMENTS IN THERMAL** 

CYCLER FOR PCR

Art Unit: 2764

Examiner: To Be Assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mai in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

#### REQUEST TO CHANGE ATTORNEY DOCKET NUMBER

)

)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants hereby request that the attorney Docket Number for the above-captioned application be changed as follows:

Old Case No.: BT-4522;

New Case No.: 4480C1

If any fee for this change is required, the Commissioner is authorized to charge such fee to Deposit Account No. 01-2213 (Order No. 4480C1). A duplicate sheet is enclosed.

Respectfully submitted

Date: September 27, 1999

Harry G. Thibault Attorney for Applicant

Reg. No. 26,347

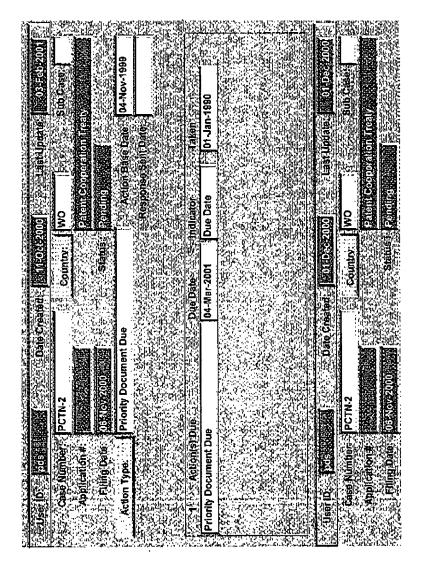
PE APPLIED BIOSYSTEMS DIVISION

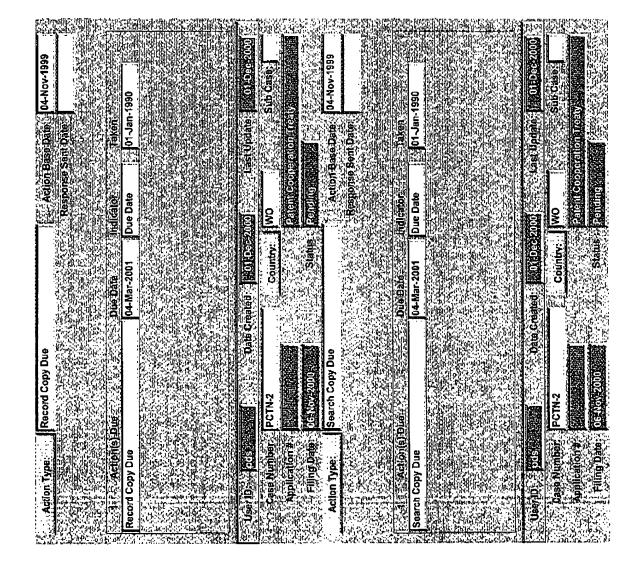
The Perkin-Elmer Corporation 850 Lincoln Centre Drive Foster City, CA 94404 (650) 638-6014 (Phone) (650) 638-6071 (Fax)

T-461 P 020/029 F-861

# Exhibit 2

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Actions Due	Gase Number Application	Action Type:		•	1 Month to respond to O.A	Due date Respond O.A.	Follow up to office action	1st Ext. O.A.	2nd Ext. O.A. FINAL	Čaški i i	Case			Acilon Type:				2 Month Due Date	3 Month Due Date	4 Month Due Date	5 Month Due Date	2 Weeks to Final Date	6 Month Final	US-3 Month O.A. follow up





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2 Weeks to Final Date	19-Mar-2001	Due Date	23-Feb-2001	is mass
6 Month Final	04-Apr-2001	Final	会 23-Feb-2001	126126
US-3 Month O.A. follow up	23-Jun-2001	Due Date	18-Jul-2001	Dieson
Office Action follow up	23-Jul-2001	Reminder	18 Jul 2001	nor:
The second secon	Contractor Contractor	Company		

T-461 P.027/029 F-861

# Exhibit 3

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: Atwood et al.

SERIAL NO.: 09/075,392

ART UNIT: 2781

FILED: 05/08/98

EXAMINER: F. Backer

TITLE: IMPROVEMENTS IN THERMAL CYCLER FOR PCR

ATTORNEY DOCKET NO .: 715-009180-US (CO1)

Assistant Commissioner for Patents

Washington, DC 20231

### REQUEST TO CHANGE CORRESPONDENCE ADDRESS

Dear Sir:

This is a request to change the correspondence address for this application to:

David Aker Perman & Green, LLP 425 Post Road Fairfield, CT 06430

Respectfully submitted,

Perman & Green, LLP

425 Post Road

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